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Understanding Active School Travel through the Behavioural Ecological Model

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# Abstract

Active school travel (AST) is an important source of physical activity for children and a conceptual understanding of AST is necessary to inform promotion efforts. The aim of this paper is to provide a conceptual analysis of AST. All currently identified AST formulations include intra-individual variables which are often recommended as intervention targets. However, existing literature lacks clarity on precisely how these intra-individual variables might shape specific AST interventions. Moreover, evaluative studies of AST interventions typically fail to specify an underpinning theory or model. To address this limitation, the Behavioural Ecological Model (BEM), not previously addressed in AST, is presented to guide this area of research. Based on specific examples, we draw attention to the role of potential antecedents and potential reinforcers of AST, as well as potential reinforcers of motorised travel. Antecedents and reinforcers may help to explain choices of school travel mode, and to inform and increase intervention options to promote AST. Consistent with the BEM, the provision of more immediate consequences, such as fun and material prizes, is an evidence-based strategy for increasing AST which is likely to be low-cost and easier to deliver than alternative interventions. This approach to the study of AST is expected to contribute to similar analyses in this and other areas of behaviour change research, and to a more useful discussion and treatment of theoretical and conceptual behavioural models.

**Keywords:** travel behaviour, theory, models, antecedents and reinforcers, contingencies

## Background

Worldwide, most children fail to achieve the recommended 60 minutes of moderate-to-vigorous physical activity (MVPA) per day (Hallal et al., 2012). Active school travel (AST) can increase children's MVPA, by as much as 17 minutes per day in primary school children and 13 minutes per day in high school students (A. Martin, Boyle, Corlett, Kelly, & Reilly, 2016). Yet, in many countries, a decrease in AST over time has been observed (Fyhri, Hjorthol, Mackett, Fotel, & Kytta, 2011; McDonald, Brown, Marchetti, & Pedroso, 2011; Van Der Ploeg, Merom, Corpuz, & Bauman, 2008), although comparisons are often complicated by measurement differences (e.g. 'AST everyday' or 'at least three times a week'). Current data suggest AST levels of 21.4% in the US (Yong Yang, Ivey, Levy, Royne, & Klesges, 2016), 43% in England (DfT, 2016), and 37% in Australia (Active Healthy Kids Australia, 2015). These figures suggest scope for change in school travel behaviours and the need for effective AST promotion, including the possibility of partway active trips for children living at greater distances from school. A shift to AST also has the potential to contribute to a decrease in pollutants resulting from motorised travel (de Nazelle et al., 2011).

Developing a behaviour change intervention, such as an intervention to increase AST, often starts with a review of relevant theory (Craig et al., 2013), which can help to specify the occurrence of and motivation for (poor) behaviour and inform its prevention, management or solution (Fleary & Sidani, 2012). Despite these benefits, most descriptions of AST interventions fail to provide a theoretical rationale (Chillon, Evenson, Vaughn, & Ward, 2011). The aim of this paper is to provide a useful conceptual analysis of AST. Starting with an overview of existing AST formulations, we identify and discuss a key issue: the extent to which previous formulations have informed the development of intervention strategies. We provide an alternative model to conceptualise AST which is expected to result in a clearer pathway to practice.

## Current formulations in AST literature

We will use the word *formulation* to refer to a theory, model or framework. A scientific theory has been defined as a well-substantiated explanation of some aspect of the natural world, based on a body of facts that have been repeatedly confirmed through observation and experiment (AAAS, 2011). ‘Explaining’ usually involves identifying a relationship between cause(s) and effect(s), or between independent variable(s) and dependent variable(s) (Keil, 2006). Two terms closely related to theory are ‘models’ and ‘frameworks’ and these need to be differentiated from theories. Theories are explanatory principles or statements about a phenomenon, specifying relationships between variables; models tend to have a narrower scope than theories and are usually more descriptive than explanatory; frameworks denote a structure or outline within which phenomena are integrated in various descriptive categories and, as such, do not provide explanations (Nilsen, 2015). However, although different, models and frameworks are often both presented as explanatory which makes the distinction less obvious in practice.

Evaluations of AST interventions have typically been presented without a clear theoretical rationale (Chillon et al., 2011). Yet there are a considerable number of formulations which have been proposed or developed to account for AST or which have been used in non-experimental AST research. This suggests that much of the theoretical work in AST is not translated into intervention development. For the present review of existing formulations, searches of key relevant terms were performed by a single individual in two main databases. Thirteen formulations were identified, primarily in the context of observational AST research (Table 1).

[Please insert Table 1 here]

Current approaches to the study of AST range from a focus on individual variables to environmentally-oriented analyses, but all of those identified through our review incorporate, and often emphasise, intra-individual (typically cognitive) variables. In Panter et al's framework, for example, youth characteristics and attitudes are hypothesised to influence AST; with youth attitudes proposed to affect perceptions of the environment, and parental characteristics and attitudes proposed to impact on parents' own environmental perceptions and AST decisions (J. Panter, A. Jones, & E. Van Sluijs, 2008). Another example is the M-CAT, according to which objective elements such as child and family characteristics shape parental and child perceptions of the environment, attitudes and beliefs, and in turn affect AST (Pont, Ziviani, Wadley, & Abbott, 2011).

Problems with cognitive constructs have been highlighted by many within the behavioural sciences. Three common criticisms include invoking unobservable mental events, treating cognitive processes as causes of behaviour rather than behaviours themselves, and the impossibility of measuring and controlling these variables directly (Chiesa, 1994; Hayes & Brownstein, 1986). In the anticipation of developing a novel AST intervention, it is on the third criticism that this review will focus: how are intra-individual variables to be targeted or, in other words, how do these variables suggest solutions to promoting AST and inform the production of logic models as part of the development and evaluation of (complex) interventions for enhancing AST?

This problem with cognitive variables is identified in the AST literature by Panter et al. (2010):

“Although our findings suggest that changing parental perceptions may be an important intervention strategy, how this could be achieved is currently unknown. The provision of more supportive environments for active commuting might be particularly appropriate as this may itself result in

changes in attitudes or perceptions.”(JR Panter, Jones, van Sluijs, & Griffin, 2009, p.7).

Others have found that parental self-efficacy, i.e. their belief in their child’s ability to travel actively to school, was significantly associated with AST (Lu et al., 2015; Mendoza et al., 2010). In one study, the authors concluded that AST interventions should aim to improve this parental psychological construct but gave no indication of how this could be achieved (Mendoza et al., 2010). In another study, both children’s and parents’ self-efficacy with respect to AST were found to be significantly associated with the occurrence of the behaviour (Lu et al., 2015). Four strategies were recommended to boost child’s self-efficacy and therefore AST: community-based interventions to achieve neighbourhood safety, by involving schools, families, and communities; increased exposure to supportive role models and positive peer influence; boosting parental self-efficacy (although authors had insufficient data to make specific proposals on this point); and reducing physical and social environmental constraints (Lu et al., 2015). The range of techniques suggested to target self-efficacy is vast and heterogeneous, hindering the delineation of the scope of a ‘self-efficacy intervention’. The same argument applies to targeting perceived behavioural control in AST promotion (Murtagh, Rowe, Elliott, McMinn, & Nelson, 2012). From the current literature it is unclear what is gained by targeting either self-efficacy with respect to AST or the perceived behavioural control of performing AST, as opposed to targeting the behaviour itself. While our review was not systematic, it is likely that these observations would still hold true had it so been as the formulations found here are comparable to typical behavioural models and theories in terms of the inclusion of intra-individual variables and relations between them.

Another important point to consider when developing the conceptual basis of an intervention is the sustainability of change. In behaviour change literature, a distinction is

often made between factors underlying the adoption or initiation of new behaviours and those responsible for their subsequent maintenance (Kwasnicka, Dombrowski, White, & Sniehotta, 2016). Despite the complexity of some existing AST models, whether individually or environmentally focused, few of them address this issue in an explicit manner. Those which do consider maintenance include the M-CAT which hypothesises that events occurring during the journey to school, whether positive (e.g. socialising with other children, increased fitness) or negative (e.g. road dangers), form the beginning of a feedback loop in which parental and child perceptions are shaped, ultimately impacting on AST (Pont et al., 2011). With a focus on automaticity, Hodgson et al stress the importance of establishing behavioural habits, or associative responses to environmental cues (e.g. weather), in the maintenance of ATS. They also suggest ways to break bad habits, including strategies such as prompting a review of pros and cons for each travel option, environmental changes, or helping people remember the reasons for their choices (Hodgson, Namdeo, Araujo-Soares, & Pless-Mulloli, 2012). These approaches suggest the use of cues (verbal or non-verbal) to encourage the initiation of AST, as well as making it a pleasant and rewarding experience, for example through a positive interaction with other children.

### **The Behavioural Ecological Model**

One model which tries to avoid the illogical circularity of those discussed above and considers behavioural maintenance is the Behavioural Ecological Model (BEM) (Hovell, Wahlgren, & Adams, 2009). Deeply rooted in Behaviour Analysis and in the works of B. F. Skinner in particular (Skinner, 1953), this model has been applied to contexts of behaviour change as diverse as stair use (Adams et al., 2006) and school bullying prevention (Dresler-Hawke & Whitehead, 2009).

Consistent with other AST models, the BEM (Figure 1) considers multiple levels of influence on behaviour, but highlights the interaction between individual and group



contingencies (Hovell et al., 2009). The term contingency (or contingency of reinforcement) refers to relationships between antecedents (A) or context, behaviour (B) and its consequents (C). For that reason, this approach is also known as the ABC model. According to the BEM, an individual's behaviour is the product of their genome, anatomy, physiology and learning history (lower triangle), i.e. of factors within and outside the skin (vertical arrow), altering as a function of consequences (horizontal arrow), all in a constellation of wider contingencies involving other individuals (upper triangle). This process of selection of behaviours through consequences, analogous to that of the origin of new species, accounts for the shaping and maintenance of individual behaviour, as well as for the evolution of cultural practices (Skinner, 1981).

[Please insert Figure 1 here]

Figure 1 - Behavioural Ecological Model by Hovell et al. (2009). Image used with permission of the authors.

Although ABC formulations have traditionally focused on individual behaviour, the same approach has been taken to study groups or populations by focusing on their products or practices. Two key concepts in the analysis of cultural practices are metacontingencies and macrocontingencies. In many ways similar to an individual contingency, a metacontingency is the unit of analysis encompassing a cultural practice and refers to the “contingent relation between 1) recurring interlocking behavioural contingencies (IBCs) having an aggregate product and 2) selecting environmental events or conditions” (Glenn et al., 2016, p. 13). Taking the example of the automobile industry: 1) includes some constants (e.g. types of jobs to be done, factory design for assembly) and more dynamic and fluid elements (e.g. specific ways in which individual supervisors respond to workers, how pay relates to work

performance, co-workers' verbal and nonverbal responses to management as well as to objects involved in the task), as well as an aggregate product which is the number and quality of cars produced; 2) are those who buy cars, and who, by doing so, reinforce the set of interlocking behavioural contingencies in 1) (Glenn, 1988, p. 168). In turn, the aggregate behaviour of participants is constrained by its physical, institutional and legal environments, affecting each other bidirectionally (e.g. when different car manufacturers compete to increase sales, or governments impose restrictions on CO<sub>2</sub> emissions).

On the other hand, a macrocontingency consists of a cumulative effect of social significance as a result of individual reinforcement and metacontingencies (Glenn et al., 2016, p. 19). Of relevance to AST, air pollution and global warming are partly attributable to the use of motorised transportation, both by individuals and by groups of individuals (e.g. buses). The study of cultural contingencies is challenging for a number of reasons, mainly practical, but this conceptual analysis is supported by empirical data (Ortu, Becker, Woelz, & Glenn, 2012; Vichi, Andery, & Glenn, 2009).

Understanding all levels and their interactions may be required to fully comprehend or engineer sustained health-related practices, but one may focus on a given level for an account at that level of analysis (Hovell et al., 2009). This is particularly relevant when researchers have little power to affect policies or other higher-level influences. For that reason, this paper concentrates on individual contingencies in which parents and children are the main actors, irrespective of the metacontingencies in which they are embedded.

### **View of behaviour**

Behaviour is defined as everything that an individual does, at the overt and covert levels, including motor, physiological, verbal, emotional and perceptual (e.g. seeing or hearing) behaviour (Skinner, 1953). Taking the example of self-efficacy, a possible non-cognitive account is that people often make statements about their future behaviour, silently

1 or loudly, and the consequences of those predictions have established a response class that  
2 includes both the statements and their actual behaviour (Biglan, 1987). ‘Perception’ is a term  
3 that behaviour analysts typically use in the context of sensory perception, or how individuals  
4 sense the visual, auditory or otherwise sensorial stimuli around them (e.g., Reynolds &  
5 Hayes, 2017). It is unclear how similar this is to ‘perception’ of safety of an environment  
6 (e.g., Pont et al., 2011), which may be referring to a process of discrimination, i.e. responding  
7 to some stimuli but not to others (Zentall, Galizio, & Critchfield, 2002). For example, parents  
8 are likely to be more protective of their child walking to school, either because of personal  
9 experience or due to what they have heard from others, but less vigilant if the child is playing  
10 in their own garden.

11 Experimental demonstrations of covert behaviour are challenging, but in the absence  
12 of such evidence, alternative contextualistic interpretations of psychological concepts are  
13 possible (Machado & Silva, 2007; Skinner, 1945). Occasionally, behaviour-analytic literature  
14 refers to constructs which may describe forms of covert behaviour, but these are treated as  
15 additional dependent variables (Chiesa, 1994, p. 171) and are sometimes used in empirical  
16 studies to ‘validate’ and predict overt behaviour (e.g., Hastings & Symes, 2002).

17 Behaviour analysts recognise that experience changes the physiology of the organism  
18 and that these changes mediate the effects of subsequent environmental events, but because  
19 those physiological changes and private events cannot be directly manipulated, only the  
20 environmental conditions in which behaviour occurs are viewed as causes (Anderson,  
21 Hawkins, & Scotti, 1998, p. 161). This environment corresponds to the people, objects, and  
22 events – stimuli - currently present in one’s immediate surroundings that impinge on one’s  
23 sense receptors and that can affect behaviour. When behaviour changes as a result of  
24 alterations in the environment we speak of conditioning (Pierce, 2004). Two conditioning  
25 processes are the heart of the BEM: respondent conditioning and operant conditioning.

## **Respondent conditioning**

The group of behaviours studied by respondent conditioning – respondent behaviours - are involuntary and automatic responses such as reflexes, glandular responses and what we call emotions, presumably under the control of autonomic nervous system (Nord & Peter, 1980). Respondent conditioning occurs when a meaningless or neutral stimulus is paired with an unconditioned stimulus, which naturally causes respondent behaviour, acquiring the capacity to elicit a similar response (Pavlov, 1927). For example, people often react to situations which resemble an original context of trauma, even though these novel situations were not previously paired with pain (Arhin & Thyer, 2004; Mineka & Oehlberg, 2008).

Processes of respondent conditioning, although insufficient, may be worthwhile considering in AST. For example, parental concerns regarding "stranger danger" and road safety have been identified as a potential barrier to children's outdoor physical activity, including active travel (Carver, Timperio, & Crawford, 2008). Yet most children in developed countries will never experience any significant "stranger" or traffic danger during their school years (CAPT, 2013). Nonetheless, media coverage of cases of child abduction or murder exacerbates parental fears and anxieties (Zubrick et al., 2010). Thus, depictions of these rare cases on TV, newspapers and on the Internet, become strong conditioned aversive stimuli in parents' environments, which can undermine AST promotion efforts. Social marketing strategies, civic journalism, and particularly more reporting of positive news of how families enjoy and benefit from AST (Zubrick et al., 2010), as well as features of the journey to school (e.g. presence of other children), could elicit more positive feelings and predispose more parents to allow their children to walk or cycle to school.

## **Operant conditioning**

Behaviours such as walking, eating or talking are the function of a larger number of variables. In these cases, people operate on their surrounding environment and in doing so

1 encounter special kinds of stimuli – consequences - which alter the probability of repeating  
2 that behaviour in the future. Consequences that increase the probability of (or strengthen)  
3 future similar behaviour are reinforcers; consequences that decrease the likelihood of (or  
4 weaken) future behaviour are aversive stimuli or punishers. Two behaviours may be  
5 topographically identical (e.g. a single eye blink) but functionally different, for example  
6 when one blinks due to eye irritation (respondent) or winks at a friend in a bar (operant).

7       Reinforcers and punishers can both be positive or negative, depending on whether  
8 behaviour is strengthened or weakened by the addition or removal of a stimulus, respectively  
9 (Skinner, 1953). In casual discourse, positive reinforcement is usually implied by what we  
10 “want” or “like” to do, and negative reinforcement by the things that we “have to do”.

11 Consequences correlate typically with other aspects of the environment or situation, which is  
12 often referred to contingencies of reinforcement (or punishment), or as antecedents-  
13 behaviour-consequents (ABCs) relationships (Kazdin, 2012). Anything that alters the  
14 effectiveness of a consequence is called a motivating operation, including the extent to which  
15 the individual has gone with or without the consequence, known as satiation and deprivation  
16 respectively (Laraway, Snyderski, Michael, & Poling, 2003).

17       Various examples of ABC formulations are found in health promotion research. For  
18 example, in physical activity interventions (Sallis & Owen, 1998), changing antecedents  
19 included planning specific times and locations for PA, keeping running shoes in the car, and  
20 living near attractive facilities; changing behaviour by altering the consequences included  
21 socialising with others whilst exercising, and monetary incentives for active travel to work.  
22 The authors stressed that interventions needed not only to administrate reinforcers, but also to  
23 consider ways of removing or reducing punishers, e.g. discomfort during exertion, or being  
24 laughed at because of poor sports skills. Feedback on performance is another important

consequence-based strategy which has proven successful in increasing physical activity in older adults (O'Brien et al., 2015).

Table 2 shows a non-exhaustive list of potential antecedents and potential reinforcers for AST, and also potential reinforcers for motorised travel. The list is suggested by the existing, mainly correlational, literature, and should be subject to further investigation.

Antecedents are classed according to the various levels of the BEM. These include individual level (normative group and physical), local level (clinical services, built and social environment), community level (policies, laws, media), and social/cultural level (nationality and culture specific). For convenience, local and community levels are presented together (e.g. parks and recreation facilities exist locally, but often reflect national policies).

Most literature suggests that parents are the primary decision makers of their child's travel mode(s) to school (K. K. Davison, Werder, & Lawson, 2008; Faulkner, Richichi, Buliung, Fusco, & Moola, 2010; J. Panter et al., 2008) but their decision may be influenced by their child's preferences and age (Pont et al., 2011). Thus, an ABC assessment of school travel mode is likely to benefit from attending to both perspectives. ABC assessments have been traditionally carried out at the individual level (Cole & Bonem, 2000). Since we are taking a generic perspective, rather than an individual focus, potential antecedents and consequents for AST are presented together, as previously reported in other contexts of health promotion (Adams, Norman, Hovell, Sallis, & Patrick, 2009; Gielen & Sleet, 2003).

Antecedents correspond to stimuli which increase opportunities for reinforcement. As such, they increase the likelihood of behaviour, but always in combination with other contextual factors, or conditions favouring engagement in alternative activities, a principle known as the Matching Law (Herrnstein, 1961). Indeed, assessment of competing contingencies of reinforcement is acknowledged to be one of the most important, but also challenging, tasks in behaviour change interventions (Barnett, Bell, & Carey, 2002). It has

1 been noted that “children’s environments have many well-established, competing  
2 contingencies of reinforcement, such as the enjoyment contingent on play behaviour. If left to  
3 chance, these may compete with the development of important academic or interpersonal  
4 skills, to the child’s detriment” (Follette, Linnerooth, & Ruckstuhl Jr, 2001, pp. 127-128).

5 Reinforcers administered contingently upon desired behaviour, such as praise, edibles or  
6 small material prizes, can increase the reinforcing value of the targeted behaviour over others.

7 Some correlates such as gender of the child or ethnic background are omitted from  
8 our analysis because their effects are likely to be indirect. For example, parents are often  
9 more protective towards girls (e.g., De Meester, Van Dyck, De Bourdeaudhuij, & Cardon,  
10 2014) and safety concerns are reported more frequently for girls than for boys (Evenson et  
11 al., 2006). For many parents, protective behaviour towards girls may, societally, be more  
12 accepted (i.e. reinforced), or less resisted (i.e. punished), than towards boys (e.g., Mayhew,  
13 Uprichard, Beresford, Ridge, & Bradshaw, 2004). In some social groups, car ownership, and  
14 its daily use, is more common, and those who drive their children to school may be less often  
15 subjected to unwanted attention than parents from other backgrounds who do the same (e.g.,  
16 Eyre, Duncan, Birch, & Cox, 2013). Distance, a key determinant of AST, is also likely to be a  
17 moderator for other variables (e.g. house location), rather than being itself amenable to direct  
18 manipulation.

19  
20 [Please insert Table 2 here]

21 Because the studies referenced on Table 2 are from a non-behaviour-analytic  
22 literature, there is a variable degree of clarity and specificity as to what each of the variables  
23 mean, e.g. ‘social encouragement and approval for active lifestyles’ and ‘equality and  
24 environmental awareness’. These may refer both to antecedents (e.g. laws, behaviour of other  
25 people) and to consequences (e.g. approval from others contingent upon behaviour). In a

more comprehensive ABC analysis, punishers for AST such as criminality or road hazards should be covered so that strategies to minimise their impact can be devised. Table 2 suggests three ways of tackling school travel behaviour: by changing the antecedents, by introducing (or strengthening) reinforcers for AST, and/or by withdrawing reinforcers for motorised travel. This perspective and these techniques are largely consistent with the “nudging approach” adopted by the Behavioural Insights Team, a UK government institution dedicated to the application of behavioural science (BIT, 2016a). Withdrawing reinforcers for motorised travel, especially if combined with the reinforcement of AST, is likely to be more effective and acceptable than introducing punishing consequences for car use, for example fines (Lerman & Vorndran, 2002). Although some strategies are unequivocally punitive (e.g. fines), in others the distinction between reinforcement removal and punishment is less clear. For instance, narrowing a road constitutes a loss of the conditions available to motorists to increase their speed rather than the administration of a punishment, yet it is likely to be just as off-putting to car users by making driving less convenient.

Some potential reinforcers are long-deferred or inconspicuous, such as ‘being fit’ or reducing air pollution. Rather than resulting from a direct exposure to contingencies, people may be behaving mainly because of contingencies which are mediated by other people.

### **Rule-governed behaviour**

A distinction is made between contingency-shaped behaviour, which is the result of direct contact with contingencies of reinforcement, and rule-governed behaviour, which is under the control of descriptions of reinforcement contingencies such as rules (Catania, Shimoff, & Matthews, 1989; Skinner, 1957). In this context, rules can refer both to simple verbal prompts or more complex descriptions or instructions, and depend on the verbal ability of the listener (and speaker). Parents who engage in AST on the grounds of trying to reduce air pollution may do so not necessarily due to immediate or obvious consequences, but



1 because following the advice of experts has paid off in other situations. Like rule-following,  
2 imitation is also believed to occur, at least in part, because we are often reinforced for doing  
3 as others do (Masia & Chase, 1997). The notion of stating norms and encouraging people to  
4 comply is also in line with the ‘nudge’ agenda (e.g., BIT, 2016b).

5 Experimental data suggest that rule-governed behaviour may reduce an individual’s  
6 sensitivity to actual (non-rule-governed) contingencies (Ghaderi, 2006; Hayes, Brownstein,  
7 Zettle, Rosenfarb, & Korn, 1986). Often both sorts of behaviour are thought to co-exist  
8 (Hastings & Brown, 2000). Once language is acquired in a verbal community, self-talk may  
9 ‘bridge the gap’ between environment and (overt) behaviour. For example, by providing  
10 instructions to themselves, individuals make target actions less ambiguous and improve their  
11 performance, as it seems to be the case in athletes (Hardy, 2006, p. 91). Similarly, goal  
12 setting can be seen as verbal stimuli specifying a performance which would otherwise be less  
13 clear, although in practice the relation between goal specificity and the effectiveness of goal  
14 setting interventions is not always straightforward (McEwan et al., 2016).

### 15 **Schedules of reinforcement in health behaviour change**

16 One important property of reinforcers is their schedule, i.e. the conditions under  
17 which reinforcement occurs. Although some behaviours are reinforced invariably at each  
18 occurrence (e.g. drinking → quenching thirst), most behaviours are only reinforced  
19 intermittently (Ferster & Skinner, 1957). For example, it is likely that children will only  
20 ‘have fun’ during some journeys to school, and that multiple active trips to school are  
21 required to notice an effect on ‘fitness’. Behaviour acquired through intermittent  
22 reinforcement is more resistant to extinction than that instigated after a continuous schedule  
23 (e.g., Horsley, Osborne, Norman, & Wells, 2012; Nevin, 2012).

24 Schedules of reinforcement are extensively used to change health behaviour by  
25 introducing non-naturally occurring reinforcers intermittently. This is often easier than

1 modifying social and infrastructural aspects of people's lives, or can be used as an addition to  
2 environmental changes. In a fixed-ratio schedule, a fixed number of responses is necessary  
3 for reinforcement, for example in some coffee shops the 10<sup>th</sup> beverage is free. Under a  
4 variable-ratio schedule, the number of responses required for reinforcement varies  
5 unpredictably from one reinforcer to the next. Variable-ratio reward schemes have been  
6 applied to promote physical exercise in obese boys (Luca & Holborn, 1992), in the  
7 management of problem behaviour and acquisition of communication in children (Kelley,  
8 Lerman, & Camp, 2002), or to increase AIDS-preventing behaviour (Haug & Sorensen,  
9 2006). Of special relevance to this project, in the Boltage programme (US) children who rode  
10 their bicycle to school were entered into a weekly prize draw (\$10) (Cuffe, Harbaugh, Lindo,  
11 Musto, & Waddell, 2012).

12       Some data support the potential of incentives to promote health behaviours in children  
13 and youth, using fixed and variable-ratio schedules of reinforcement (Jensen, Hartmann, de  
14 Mul, Schuit, & Brug, 2011; Kavanagh, Oakley, Harden, Trouton, & Powell, 2011). Different  
15 schedules may be combined at the same time, and the amount and type of reinforcer may  
16 vary throughout the intervention. On the downside, reinforcement schedules do not always  
17 predict behaviour as expected (Barkley, 2013). Strategies such as loyalty cards or lotteries  
18 often work but behaviour change tends to be of short duration once the incentive is  
19 discontinued (Strohacker, Galarraga, & Williams, 2014). To increase the potential for  
20 behaviour maintenance, it is commonly accepted that rewards need: a) to continue; b) to be  
21 withdrawn gradually rather than suddenly; or c) to be applied using an intermittent schedule  
22 rather than a continuous schedule (Johnston, 2016). Indeed, fixed-ratio schedules are more  
23 effective during initiation of the behaviour, while a variable-ratio schedule is best in  
24 maintaining behaviour over time (Burns et al., 2012).

Even when behaviour change is short-lived, incentive approaches may be cost-effective (Dallat, Hunter, Tully, Cairns, & Kee, 2013; Hanewinkel & Isensee, 2012). In the Boltage child cycling promotion programme, a 16% increase in rides was limited to draw periods and the following weeks, but only six cents was spent per child (Cuffe et al., 2012). Compared to other interventions (e.g. infrastructural), incentive schemes are low-cost, can be delivered quickly and often do not require any particular expertise. With lotteries, one prize often suffices to motivate an entire group. All of these factors are likely to appeal to policymakers, especially when funding is limited and/or modifying transport infrastructure is impracticable or strongly resisted. In the case of an AST incentive scheme, the encouragement of teachers and parents, as well as having peers taking part, may provide additional reinforcement for participants even in the absence of any prizes won.

### **Strengths and limitations of the BEM**

Similar to other ecological models, one of the main strengths of the BEM is the recognition of multiple levels and sources of influence on AST. This increases the number and options of potential interventions substantially. Other advantages of the BEM are its concern for conceptual clarity and its emphasis on behaviour and on observable interactions between individuals and their surroundings. Other existing ecological models have been criticised for failing to explain how the environment exerts its effects on people's behaviour (Nelson, 2007); contingencies of reinforcement may help answer the question. A focus on overt behaviour has the advantage of directing attention to its interaction with external variables, which are the only ones amenable to direct manipulation and measurement by behavioural researchers (Chiesa, 1994). This makes the BEM more practical, parsimonious and, to some extent, more testable than other models. On the issue of behaviour maintenance, often unaddressed by existing models, the BEM suggests the need for introducing some level of reinforcement over time, or making it more conspicuous, and modifying other aspects of

the child's environment (table 2). In addition, the BEM directs attention to the antecedents and reinforcers of car use (e.g. convenience), which may explain why people choose to drive and their difficulty in maintaining AST over time.

Many of the limitations of the BEM apply to other models. In any ecological approach, contingencies at the societal level are very difficult to test. Because of its strong reliance on laboratory research, behavioural principles do not always predict or work as expected due to the wider range of uncontrolled variables in everyday life. At a more individual level, some contingencies of reinforcement are difficult to spot, especially if reinforcement occurs intermittently. Even if specific antecedents or reinforcers can be identified, these may not be possible to change (e.g. weather, lack of financial resources). The behaviour-analytic language which is characteristic of the BEM contrasts with that of more popular models and poses a challenge to audiences who are unfamiliar with it.

### Conclusion

To the best of our knowledge, this is the first time that AST is presented having the BEM as conceptual basis. It is, however, worth noting that techniques of classical and operant conditioning, which constitute the main theoretical body of the BEM, are common in weight management programmes in contexts such as the NHS in the UK (Newson & Flint, 2011), suggesting their deliverability in behaviour change settings.

Promoting AST and other health behaviours is challenging in part because their positive effects are inconspicuous or long-deferred. Making the journey to school fun and more immediately rewarding in other ways could balance out the reinforcers of motorised travel, such as convenience and comfort. Furthermore, there are practical, ethical and economic difficulties in modifying many of the social and non-social (e.g. infrastructural) conditions which determine the school travel choices of parents and children. These constraints, coupled with the increasingly tight budgets allocated to intervention delivery and

research, suggest that an incentive scheme is an affordable way to increase the reinforcing value of the school commute by applying key principles of operant behaviour change upon which the BEM was developed. Often described as fun by young people, incentive schemes can be implemented easily in a variety of contexts of health promotion. Cash rewards may be of appeal to those in socioeconomic disadvantage who suffer disproportionately the burden of disease and whose engagement in research is often challenging, which offers, in the absence of more structural solutions, a potential to tackle health inequalities.

In this paper, we have questioned how existing AST formulations have, in practice, informed the development and evaluation of AST interventions; we have addressed their frequent lack of attention to the maintenance of behaviour and we have proposed the BEM as a guide for this area of research. By doing so, we have suggested a focus on the interaction between behaviour and the contexts in which it occurs, and have drawn particular attention to the role of consequences in the acquisition and maintenance of behaviour. In the absence of controlled studies, this task is often interpretative rather than underpinned by robust evidence. Yet behaviour analysis, upon which the BEM has been developed, has a long tradition in psychology and has been fruitful in areas such as psychotherapy and organisational behaviour. In the spirit of maintaining that tradition alive, we herein attempted to extend this approach to AST and show how an analysis of reinforcement contingencies, of both individuals and groups, makes the BEM a more promising model than previous ones. We hope this paper will lead to similar analyses in AST and in other areas of behavioural science, in the interest of continuing to improve our ability to predict and control health behaviour.

1 Table 1 – Some of the existing formulations in the context of AST.

AST publication & Formulation	Key constructs and principles
(Faulkner et al., 2010) - Behavioural Economics	- Combines insights from economic thought and behavioural science, particularly principles of operant conditioning such as latency for reward and punishing contingencies. The behaviour studies is typically (but not always) in a market context. - Person is seen as a rational agent with a system of preferences, but who also behaves in intuitive and automatic ways.
(Mendoza <i>et al.</i> , 2011) - Social Cognitive Theory, originally by (Bandura, 1989)	- Personal factors, behavioural patterns and environmental events all operate as interacting determinants influencing one another bidirectionally. Among the personal factors, self-efficacy is considered to be most central.
(Murtagh et al., 2012) - Theory of Planned Behaviour, combined with a measure of Habit Strength, originally by (Ajzen, 2011)	- Intention is the immediate cause of behaviour, resulting from the attitude toward the behaviour, subjective norm, and perceived behavioural control, which follow, respectively, from belief's about the behaviour's likely consequences, about normative expectations (or beliefs) of important others, and beliefs about the presence of factors that control behavioural performance. - Insight into automaticity (i.e. habit) can complement the understanding of behaviour provided by a reasoned action approach (i.e. planned behaviour).
(S. L. Martin, Moeti, & Pullen-Seufert, 2009) - Social Ecological Model, originally by (Bronfenbrenner, 1979)	- Behaviour is the result of multiple levels of influence which interact between themselves, including individual, interpersonal, organisational, community and policy levels. - All levels need to be taken into account to explain behaviour.
(Pont et al., 2011) - Model of Children's Active Travel (M-CAT)	- Objective characteristics of the parent, child and family (e.g. age, gender, income), as well as the objective environment (physical, political and economic), affect parental and child perceptions of these elements, which in turn affect decisions of school travel mode. - Events during the school trip trigger a feedback loop, as demonstration of the child's skills and abilities, knowledge and experiences are added to previous experiences and modify the objective child, parent and family characteristics. These characteristics, along with any changes in the objective environment, in turn impact parent and child perceptions.
(Mitra, 2013) - Behavioural Model of School Transportation	- School travel includes escorted vs independent mobility, and mode choice. - Five domains of causal links between the neighbourhood environment and school travel outcome: external influences, urban environment, household, child and travel. Does not provide an exhaustive description of every variable that might be relevant in any specific dimension. - As children grow, they assume greater control over mobility decisions. - Individual and household factors explain independent (versus escorted) travel.
(Hodgson et al., 2012) - Interdisciplinary Model of the Links between Transport and Health in the Context of School Travel	- Transport choices influenced by age, sex and constitutional factors, set within a context of individual lifestyle factors; social and community networks; living, working and schooling conditions; and broad socio-economic, cultural and environmental conditions. However, acknowledges insufficient emphasis on how influences on behaviour occur and on the socio-economic, cultural, political and environmental conditions. - Incorporates concepts of integral theory and key dimensions of human experience: subjective/interior vs objective/exterior, and individual vs collective.
(Yizhao Yang, Schlossberg, Johnson, & Parker, 2010) - Conceptual Framework Connecting Preference, Location Choice and Behaviour	- Preference for ATS is formed on the basis of their attitude, affects the decision-making process in their housing-location choice, and leads to a residential environment that is more conducive to ATS. - Along with environmental conditions, people's intention to or consideration of using ATS during their residential-location choice impacts on AST.
(Pont, Ziviani, Wadley, Bennett, & Abbott, 2009) - ANGELO Framework, originally by (Swinburn, Egger, & Raza, 1999)	- Analysis Grid for Environments Linked to Obesity (ANGELO) Framework. - Types of environment considered: (1) the physical (man-made physical attributes of the neighbourhood, such as the presence of footpaths, bikeways and controlled crossings, as well as non-man-made factors, such as the weather), (2) the political (power structures, laws, rules and regulations that influence actions), (3) the economic (direct costs associated with activities, as well as the indirect costs, such as time); and (4) the socio-cultural (community's or society's attitudes, values and beliefs related to health behaviours).
(T. E. McMillan, 2005) - Conceptual Framework of a	- Recognises that, up to a certain age, the final decision about the trip to school is most often made by the parents or carers. Aspects of urban form influence psychosocial factors (perceptions of safety and/or traffic) and/or socioeconomic factors (household

Primary-aged Child Travel's Behaviour	transportation options). These may in turn affect parental decision making about the child's school travel mode, creating a sequence of causal mediators that intervene between urban form and a child's travel behaviour to school.
(J. Panter et al., 2008) - Conceptual Framework for the Environmental Determinants of Active Travel in Children	<ul style="list-style-type: none"> <li>- Four domains of influence: individual factors (e.g. parental and youth's perceptions and attitudes), those associated with the physical environment (e.g. urban form), external factors outside the most proximal domains of influence (e.g. household income), and main moderators (age, gender and distance to school).</li> <li>- Different levels of the physical environment influence parental and youth perceptions; however, these may be formed from actual attributes, or based on pre-existing views. Travel mode results from both parental and child perceptions.</li> </ul>
(J. R. Sirard & Slater, 2008) - Ecological and Cognitive Active Commuting Framework	<ul style="list-style-type: none"> <li>- Different levels of influence: policy, neighbourhood, and parent/family.</li> <li>- Socio-demographic factors are presented at the bottom and modify the parent's decision about allowing AST.</li> <li>- Objective assessments of the physical and social environments are assumed to be filtered through parent's perceptions, which are in turn combined with attitudes, beliefs, perceptions of social norms, and perceived support with regards to AST.</li> <li>- Preferences and perceptions of the child, other potential sources of information, and resources of the family can further change parental perceptions, although the availability of resources may also act directly on AST</li> <li>- When the child engages in AST, he/she may alter attitudes and perceptions, theirs and of their parents'.</li> </ul>
(Saiyed & Kennedy, 2006) - 5 E's Model (unknown original author(s))	<ul style="list-style-type: none"> <li>- Commonly underlying Safe Route to School programmes.</li> <li>- Promotion of active travel needs to combine the 5 E's: Education (e.g. teaching students and community, providing information), Encouragement (e.g. getting parents and students excited through special events), Engineering (e.g. improve infrastructure), Enforcement (work with local law enforcement), and Evaluation (monitoring of activities and assessment of intended outcomes).</li> </ul>

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1 Table 2- Potential antecedents for AST, potential reinforcers for AST, and potential  
 2 reinforcers for motorised travel

	Potential antecedents for AST	Potential reinforcers for AST	Potential reinforcers for motorised travel
Individual	<ul style="list-style-type: none"> <li>- No car available (Pont et al., 2009; J. R. Sirard &amp; Slater, 2008)</li> <li>- Lower socio-economic status (e.g. less money available) (K. K. Davison et al., 2008; Pont et al., 2009; J. R. Sirard &amp; Slater, 2008) (Jenna Panter, Corder, Griffin, Jones, &amp; van Sluijs, 2013)</li> <li>- Independent mobility (allowed to be out alone) (K. K. Davison et al., 2008)</li> <li>- Parents currently walk or cycle to work (K. K. Davison et al., 2008; Van Kann, Kremers, de Vries, de Vries, &amp; Jansen, 2015)</li> <li>- Parents encourage AST and walking (J. R. Sirard &amp; Slater, 2008) (J. R. Panter, Jones, van Sluijs, &amp; Griffin, 2010)</li> <li>- Parents encourage PA and social interaction during AST (K. K. Davison et al., 2008)</li> <li>- Encouragement from others (K. K. Davison et al., 2008)</li> <li>- AST more convenient, time available (Jenna Panter et al., 2013) (G. S. A. Trapp et al., 2012)</li> </ul>	<p>Immediate:</p> <ul style="list-style-type: none"> <li>- Being active/fit (Fusco, Faulkner, Moola, Buliung, &amp; Richichi, 2013) (P. Davison, Davison, Reed, Halden, &amp; Dillon, 2003) (Kirby &amp; Inchley, 2009)</li> <li>- Having fun (Mitchell, Kearns, &amp; Collins, 2007) (Hunter, de Silva, Reynolds, Bird, &amp; Fox, 2015) (Romero, 2015)</li> <li>- Listening to music (Kirby &amp; Inchley, 2009)</li> <li>- Gives energy (Mitchell et al., 2007)</li> <li>- Interesting things to look at/nature (Mitchell et al., 2007) (Fusco et al., 2013)</li> <li>- Interaction with other children/make friends (K. K. Davison et al., 2008) (Kirby &amp; Inchley, 2009) (Fusco et al., 2013)</li> <li>- Cycling is “cool” (attention and approval from others) (G. Trapp et al., 2011) (Baslington, 2009)</li> <li>- Feeling the sun (Mitchell et al., 2007)</li> <li>- Breathing fresh air (Kirby &amp; Inchley, 2009)</li> <li>- Faster than walking (cycling) (Mitchell et al., 2007)</li> <li>- Feeling more alert at school (Mitchell et al., 2007) (Kirby &amp; Inchley, 2009)</li> <li>- Save money (Kirby &amp; Inchley, 2009)</li> <li>- Approval from parents and others (J. R. Sirard &amp; Slater, 2008) (JR Panter et al., 2009)</li> </ul> <p>Non-naturally occurring:</p> <ul style="list-style-type: none"> <li>- Rewards/incentives (Kirby &amp; Inchley, 2009) (Davies, 2012)</li> <li>- Raising funds for charity (Hunter et al., 2015)</li> </ul> <p>Delayed:</p> <ul style="list-style-type: none"> <li>- Better health (Fusco et al., 2013; Kirby &amp; Inchley, 2009)</li> <li>- Benefits to the environment (P. Davison et al., 2003) (Kirby &amp; Inchley, 2009)</li> </ul>	<p>Immediate:</p> <ul style="list-style-type: none"> <li>- Time saved (convenience) (Faulkner et al., 2010)</li> <li>- Less effort (Faulkner et al., 2010)</li> <li>- Safer (Fyhri et al., 2011)</li> <li>- Having a car is “cool” (attention and approval from others) (Lorenc, Brunton, Oliver, Oliver, &amp; Oakley, 2008)</li> <li>- Listening to music (Romero, 2015)</li> <li>- Cheaper (bus) (Pooley et al., 2010)</li> <li>- Interaction with friends (bus) (Mitchell et al., 2007)</li> <li>- Good view from the bus (Mitchell et al., 2007)</li> <li>- Avoid bullies (Ahlport, Linnan, Vaughn, Evenson, &amp; Ward, 2008)</li> <li>- Avoid cold/rain (Kirby &amp; Inchley, 2009)</li> <li>- Avoid carrying bag (Kirby &amp; Inchley, 2009)</li> <li>- Avoid exposure to pollution (Pooley et al., 2010)</li> </ul> <p>Delayed:</p> <ul style="list-style-type: none"> <li>- Benefits to the environment (bus compared to car) (Baslington, 2009)</li> </ul>
Local/ community	<ul style="list-style-type: none"> <li>- Other children in the area walk/cycle to school (K. K. Davison et al., 2008)</li> <li>- Shorter distance (K. K. Davison et al., 2008; J. Panter et al., 2008; Pont et al., 2009; Saelens &amp; Handy, 2008; J. R. Sirard &amp; Slater, 2008; Wong, Faulkner, &amp; Buliung, 2011)</li> <li>- State-funded schools (K. K. Davison et al., 2008; J. R. Sirard &amp; Slater, 2008)</li> <li>- Non-religiously affiliated (K. K. Davison et al., 2008)</li> <li>- Schools that encourage physical education and active travel initiatives (J. R. Sirard &amp; Slater, 2008)</li> <li>- Proximity to shops (J. R. Panter, A. P. Jones, &amp; E. M. F. Van Sluijs, 2008; J. R. Sirard &amp; Slater, 2008)</li> <li>- Walkability index (calculated by residential density, retail floor area ratio, intersection density and land use mix) (K. K. Davison et al., 2008; Saelens &amp; Handy, 2008; John R. Sirard &amp; Pate, 2001)</li> <li>- Urban areas (K. K. Davison et al., 2008; John R. Sirard, Alhassan, Spencer, &amp; Robinson, 2008)</li> <li>- Pavements, cycle lanes and cycle parking facilities (K. K. Davison et al., 2008; Pont et al., 2009; Saelens &amp; Handy, 2008; J. R. Sirard &amp; Slater, 2008)</li> <li>- Parks and recreation facilities (Pont et al., 2009)</li> <li>- Aesthetics and more windows facing the street (J. R. Sirard &amp; Slater, 2008)</li> <li>- Good weather (Fraser &amp; Lock, 2011)</li> <li>- Diversity of views (Pikora, Giles-Corti, Bull, Jamrozik, &amp; Donovan, 2003)</li> <li>- Road and area safety (K. K. Davison et al., 2008; J. Panter et al., 2008; Pont et al., 2009; J. R. Sirard &amp; Slater, 2008)</li> </ul>		
Social/ cultural	<ul style="list-style-type: none"> <li>- Social encouragement and approval for active lifestyles (T. McMillan, Day, Boarnet, Alfonzo, &amp; Anderson, 2006)</li> <li>- Equality and environmental awareness (Garrard, 2011)</li> </ul>		



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